

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)  Roberts, Jr, John G.	2. Court or Organization  Supreme Court of the United States	3. Date of Report  05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Chief Justice of the United States	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period  01/01/2018 to 12/31/2018
7. Chambers or Office Address  One First Street, NE Washington, DC 20543		
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p>		

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Major, Lindsey & Africa, LLC -- Attorney Search Consultants -- salary
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Washington University School of Law	03/28/18	St. Louis, Missouri	Attended Annual Distinguished Alumni Awards Dinner	Transportation and meal
2.	University of Minnesota Law School	10/15-17/18	Minneapolis, Minnesota	Delivered the Stein Lecture; met with students, faculty, and Federal judges	Transportation, meals, and lodging
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Roberts, Jr, John G.	05/15/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T, Inc. Common (formerly Time Warner, Inc. Common) (see Part VIII)	D	Dividend			Sold	11/15/18	M	G	
2. Time Warner, Inc. (Common) (see Part VIII)					Merged (with line 1)	06/15/18	M		
3. Lam Research (Common)	B	Dividend	K	T					
4. Texas Instruments (Common) TXN	D	Dividend	N	T					
5. Thermo Fisher (Common) TMO	A	Dividend	N	T					
6. Sirius XM (Common)	B	Dividend	M	T					
7. Amer. Century Gro. Inv. Class I TWGIX	D	Dividend	L	T	Sold (part)	01/23/18	J	C	
8. MetLife GVUL: Putnam Multi-Cap Growth Fund		None	K	T					
9. Vanguard Int'l Gr Fund Admiral Shares VWILX	C	Dividend	L	T	Sold (part)	01/23/18	J	C	
10. Vanguard Sm-Cap Index Fund Admiral VSMAX	B	Dividend	L	T					
11. C. Schwab Muni M. Fund SWXXX	A	Dividend			Sold	03/26/18	K		
12. Wells Fargo bank accounts	A	Interest	M	T					
13. Capital One, Inc. bank accounts	D	Interest	P1	T					
14. 1/8 int. cottage, Knocklong, County Limerick, Ireland	A	Rent	K	W					
15. my529 Equity - 10% Int'l (see Part VIII)	D	Dividend	N	T					
16. my529 Age-Based Moderate (see Part VIII)	C	Dividend	M	T					
17. Allegis Group Ret. Plan (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -- Vanguard Target Retirement 2020 Trust II		None	M	T					
19. Eaton Vance Ser II Income Fund Boston CL I EIBIX	C	Dividend	L	T	Buy (add'l)	01/23/18	J		
20.					Buy (add'l)	02/13/18	J		
21. Eaton Vance Global Macr Absolute Return I EIGMX	D	Dividend			Buy (add'l)	01/23/18	K		
22.					Buy (add'l)	02/13/18	J		
23.					Sold (part)	11/28/18	M		
24.					Sold	11/29/18	K		
25. First Eagle Global SGIIX	E	Dividend	N	T	Buy (add'l)	01/12/18	J		
26.					Buy (add'l)	01/23/18	J		
27.					Buy (add'l)	02/13/18	J		
28.					Buy (add'l)	02/15/18	J		
29.					Buy (add'l)	03/15/18	J		
30.					Buy (add'l)	04/13/18	J		
31.					Buy (add'l)	05/15/18	J		
32.					Buy (add'l)	06/15/18	J		
33.					Buy (add'l)	07/13/18	J		
34.					Buy (add'l)	08/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	09/14/18	J		
36.					Buy (add'l)	10/15/18	J		
37.					Buy (add'l)	11/15/18	J		
38.					Buy (add'l)	12/14/18	J		
39. Gabelli Small Cap Growth 1 GACIX	D	Dividend	M	T	Buy (add'l)	01/12/18	J		
40.					Sold (part)	01/23/18	J	B	
41.					Buy (add'l)	02/13/18	J		
42.					Buy (add'l)	02/15/18	J		
43.					Buy (add'l)	03/15/18	J		
44.					Buy (add'l)	04/13/18	J		
45.					Buy (add'l)	05/15/18	J		
46.					Buy (add'l)	06/15/18	J		
47.					Buy (add'l)	07/13/18	J		
48.					Buy (add'l)	08/15/18	J		
49.					Buy (add'l)	09/14/18	J		
50.					Buy (add'l)	10/15/18	J		
51.					Buy (add'l)	11/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	12/14/18	J		
53. T. Rowe Price Real Estate TRREX	C	Dividend	L	T	Buy (add'l)	01/23/18	J		
54.					Buy (add'l)	02/13/18	J		
55. T. Rowe Price Blue Chip Growth TRBCX	D	Dividend	N	T	Sold (part)	01/23/18	K	E	
56.					Sold (part)	02/13/18	J	D	
57.					Buy (add'l)	02/15/18	J		
58.					Buy (add'l)	03/15/18	J		
59.					Buy (add'l)	04/13/18	J		
60.					Buy (add'l)	05/15/18	J		
61.					Buy (add'l)	06/15/18	J		
62.					Buy (add'l)	07/13/18	J		
63.					Buy (add'l)	08/15/18	J		
64.					Buy (add'l)	09/14/18	J		
65.					Buy (add'l)	10/15/18	J		
66.					Buy (add'l)	11/15/18	J		
67.					Buy (add'l)	12/14/18	J		
68. Wells Fargo Absolute Return Fund WABIX	D	Dividend	N	T	Buy (add'l)	01/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



# FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	01/23/18	J		
70.					Buy (add'l)	02/13/18	J		
71.					Buy (add'l)	02/15/18	J		
72.					Buy (add'l)	03/15/18	J		
73.					Buy (add'l)	04/13/18	J		
74.					Buy (add'l)	05/15/18	J		
75.					Buy (add'l)	06/15/18	J		
76.					Buy (add'l)	07/13/18	J		
77.					Buy (add'l)	08/15/18	J		
78.					Buy (add'l)	09/14/18	J		
79.					Buy (add'l)	11/15/18	J		
80.					Buy (add'l)	12/14/18	J		
81. AQR FDS Mgd. Futures Strategy AQMIX		None	L	T	Buy (add'l)	01/23/18	J		
82.					Buy (add'l)	02/13/18	J		
83. Dodge & Cox FDS International Stock DODFX	D	Dividend	N	T	Sold (part)	01/23/18	J	B	
84.					Sold (part)	02/13/18	J	A	
85. TIME, Inc. (Common)		None			Sold	02/02/18	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



# FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Jane Brain, LLC (H)									
87. -- Empowered Learning Institute of DC, LLC		None	K	W					
88. Principal MidCap Institutional PCBIX	E	Dividend	N	T	Buy (add'l)	01/12/18	J		
89.					Sold (part)	01/23/18	K	C	
90.					Sold (part)	02/13/18	J	A	
91.					Buy (add'l)	02/15/18	J		
92.					Buy (add'l)	03/15/18	J		
93.					Buy (add'l)	04/13/18	J		
94.					Buy (add'l)	05/15/18	J		
95.					Buy (add'l)	06/15/18	J		
96.					Buy (add'l)	07/13/18	J		
97.					Buy (add'l)	08/15/18	J		
98.					Buy (add'l)	09/14/18	J		
99.					Buy (add'l)	10/15/18	J		
100.					Buy (add'l)	11/15/18	J		
101.					Buy (add'l)	12/14/18	J		
102. ASG GLBL ALTRNTVE CL Y GAFYX	C	Dividend	N	T	Buy (add'l)	01/24/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	11/29/18	M		
104.					Buy (add'l)	11/30/18	K		
105. Dodge & Cox Stock DODGX	E	Dividend	N	T	Buy (add'l)	01/12/18	J		
106.					Sold (part)	01/23/18	J	C	
107.					Sold (part)	02/13/18	J	A	
108.					Buy (add'l)	02/15/18	J		
109.					Buy (add'l)	03/15/18	J		
110.					Buy (add'l)	04/13/18	J		
111.					Buy (add'l)	05/15/18	J		
112.					Buy (add'l)	06/15/18	J		
113.					Buy (add'l)	07/13/18	J		
114.					Buy (add'l)	08/15/18	J		
115.					Buy (add'l)	09/14/18	J		
116.					Buy (add'l)	10/15/18	J		
117.					Buy (add'l)	11/15/18	J		
118.					Buy (add'l)	12/14/18	J		
119. Oppenheimer Developing Markets ODVYX	B	Dividend	N	T	Buy (add'l)	01/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	01/23/18	K	D	
121.					Sold (part)	02/13/18	J	C	
122.					Buy (add'l)	02/15/18	J		
123.					Buy (add'l)	03/15/18	J		
124.					Buy (add'l)	04/13/18	J		
125.					Buy (add'l)	05/15/18	J		
126.					Buy (add'l)	06/15/18	J		
127.					Buy (add'l)	07/13/18	J		
128.					Buy (add'l)	08/15/18	J		
129.					Buy (add'l)	09/14/18	J		
130.					Buy (add'l)	10/15/18	J		
131.					Buy (add'l)	11/15/18	J		
132. Charter Communications (Common) CHTR		None	M	T					
133. my529 Cash Account (see Part VIII)	D	Dividend	N	T					
134. Thornburg Ltd-Term Muni Inst LTMIX	A	Dividend	K	T	Buy (add'l)	01/12/18	J		
135.					Buy (add'l)	02/15/18	J		
136.					Buy (add'l)	03/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/13/18	J		
138.					Buy (add'l)	05/15/18	J		
139.					Buy (add'l)	06/15/18	J		
140.					Buy (add'l)	07/13/18	J		
141.					Buy (add'l)	08/15/18	J		
142.					Buy (add'l)	09/14/18	J		
143.					Buy (add'l)	11/15/18	J		
144.					Buy (add'l)	12/14/18	J		
145. Tweedy Browne Global Value Fund TBGVX	C	Dividend	L	T	Buy (add'l)	01/12/18	J		
146.					Buy (add'l)	02/15/18	J		
147.					Buy (add'l)	03/15/18	J		
148.					Buy (add'l)	04/13/18	J		
149.					Buy (add'l)	05/15/18	J		
150.					Buy (add'l)	06/15/18	J		
151.					Buy (add'l)	07/13/18	J		
152.					Buy (add'l)	08/15/18	J		
153.					Buy (add'l)	09/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	10/15/18	J		
155.					Buy (add'l)	11/15/18	J		
156.					Buy (add'l)	12/14/18	J		
157. T Rowe Price Short-Term Bond PRWBX	C	Dividend	M	T	Buy (add'l)	01/23/18	K		
158.					Buy (add'l)	02/13/18	J		
159. Vanguard Div. Growth Fd Investor Shares VDIGX	F	Dividend	O	T					
160. Gateway Fund GTEYX	B	Dividend	L	T	Buy (add'l)	01/12/18	J		
161.					Buy (add'l)	02/15/18	J		
162.					Buy (add'l)	03/15/18	J		
163.					Buy (add'l)	04/13/18	J		
164.					Buy (add'l)	05/15/18	J		
165.					Buy (add'l)	06/15/18	J		
166.					Buy (add'l)	07/13/18	J		
167.					Buy (add'l)	08/15/18	J		
168.					Buy (add'l)	09/14/18	J		
169.					Buy (add'l)	10/15/18	J		
170.					Buy (add'l)	11/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



# FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/14/18	J		
172. Vanguard Total Stk Mkt Index Fd Adm Shs VTSAX	A	Dividend	K	T	Buy (add'l)	01/12/18	J		
173.					Buy (add'l)	02/15/18	J		
174.					Buy (add'l)	03/15/18	J		
175.					Buy (add'l)	04/13/18	J		
176.					Buy (add'l)	05/15/18	J		
177.					Buy (add'l)	06/15/18	J		
178.					Buy (add'l)	07/13/18	J		
179.					Buy (add'l)	08/15/18	J		
180.					Buy (add'l)	09/14/18	J		
181.					Buy (add'l)	10/15/18	J		
182.					Buy (add'l)	11/15/18	J		
183.					Buy (add'l)	12/14/18	J		
184. Goldman Finl Sq Treas Money Market Fund FTIXX	B	Dividend	L	T	Sold (part)	01/12/18	J		
185.					Buy (add'l)	01/12/18	J		
186.					Buy (add'l)	01/23/18	K		
187.					Buy (add'l)	02/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	02/15/18	J		
189.					Buy (add'l)	03/15/18	J		
190.					Sold (part)	04/13/18	J		
191.					Buy (add'l)	04/13/18	J		
192.					Buy (add'l)	05/15/18	J		
193.					Buy (add'l)	06/15/18	J		
194.					Sold (part)	07/13/18	J		
195.					Buy (add'l)	07/13/18	J		
196.					Buy (add'l)	08/15/18	J		
197.					Buy (add'l)	09/14/18	J		
198.					Sold (part)	10/12/18	J		
199.					Buy (add'l)	10/15/18	J		
200.					Buy (add'l)	11/15/18	J		
201.					Buy (add'l)	12/14/18	J		
202. Wells Fargo IRA / Inv. Co. Amer. Class C AICCX	A	Dividend	J	T					
203. MetLife GVUL: Deutsche Govt. Money Mkt VIP		None	J	T					
204. MetLife GVUL Put.Gro. Opps.		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



# FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Bost.Ptnrs. L/S Rsrch. Fund BPIRX	C	Dividend	K	T	Buy (add'l)	01/23/18	J		
206.					Buy (add'l)	02/13/18	J		
207. Van Eck Global GHAYX	A	Dividend	L	T	Buy (add'l)	01/23/18	J		
208.					Buy (add'l)	02/13/18	J		
209. Charles Schwab bank account	A	Interest	M	T	Open	03/27/18	K		
210. C. Schwab Value Advantage M. Fund SWVXX	B	Dividend	M	T	Buy	09/26/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

Roberts, Jr, John G.

Date of Report

05/15/2019

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII., lines 1 and 2. Time Warner, Inc. merged with AT&T, Inc. on June 15, 2018. Time Warner, Inc. shareholders received AT&T, Inc. stock and cash. Line 2, Column D(3) represents the cash distribution from the merger transaction. The AT&T, Inc. shares were sold on November 15, 2018.

Part VII., lines 15, 16, and 133. Utah Educational Savings Plan became my529 on February 5, 2018.

# FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting	Date of Report
Roberts, Jr, John G.	05/15/2019

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John G. Roberts, Jr**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544